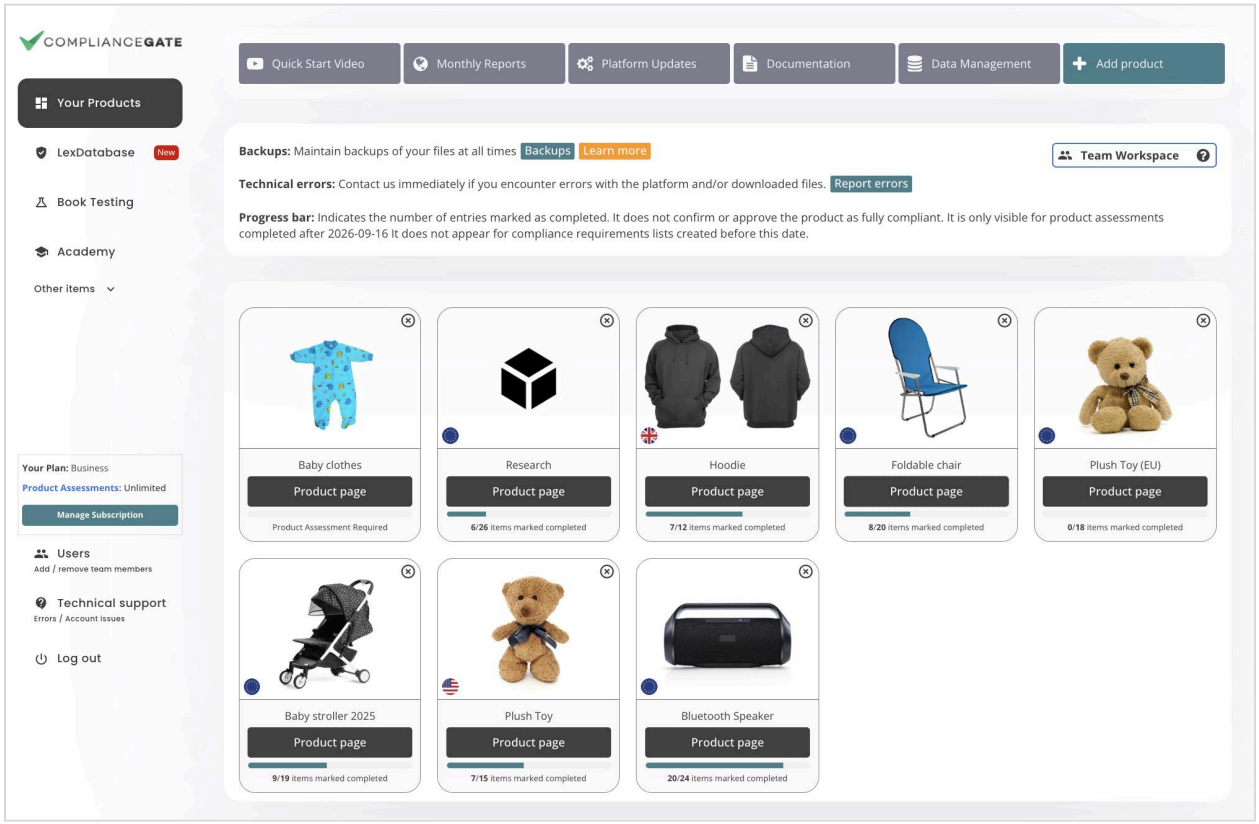
Last updated: 2026-11-18

Compliance Gate Platform - User Guide 2027



1. This document explains how to use Compliance Gate Platform features, and their sources, limitations, and risks. We recommend that you read this document carefully before using the platform.

2. This document also outlines routines for monthly reviews, annual audits, and testing.

Other documents

Document	Description
CGP Databases **Links:** US / EU / UK / CA / AU	1. This document details regulations, data, and related sources available in the Compliance Gate Platform for the specified country/market (as available on the last update date). 2. The platform **only** covers the items listed in these documents.
Data Management & Security (Link)	This document provides information about user data management, backups, data deletion, security, and other related subjects.
Step-by-Step Tutorials (Link)	The tutorials explain how to use certain features.

Last updated: 2026-11-18

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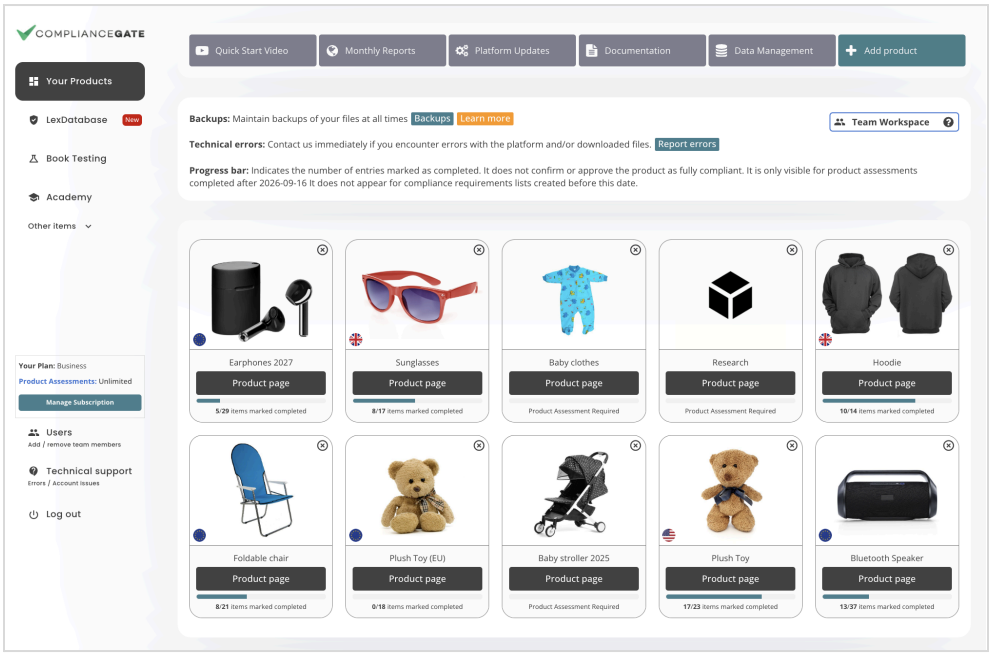
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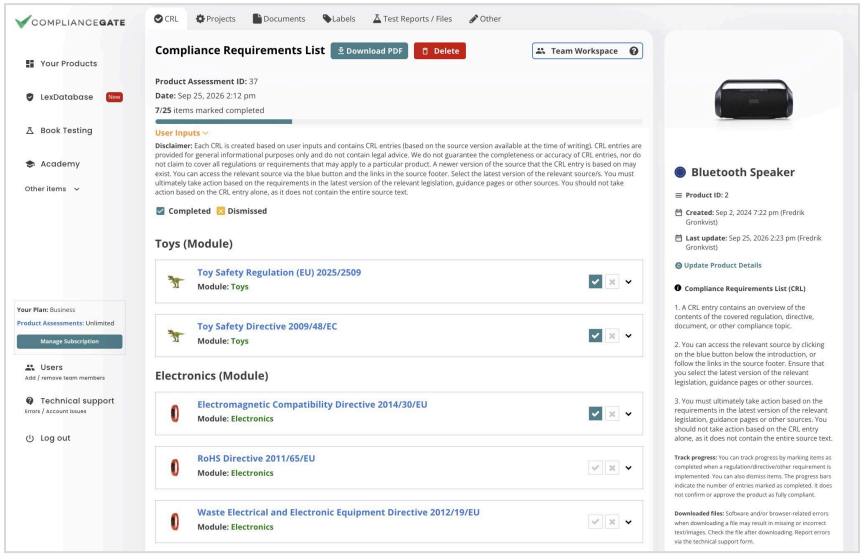
Last updated: 2026-11-18

Your Products

Add Product / Workspace



Go to Your **Products** and **Add Product** (top right corner) to add a new product workspace.



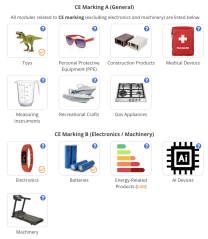
Note: You must complete a product assessment to add a Compliance Requirements List (CRL) and Project Modules.

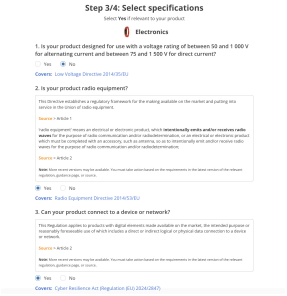
Note: Templates and widgets can be added during a product assessment or manually from within the workspace.

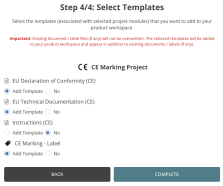
Last updated: 2026-11-18

Product Assessment









Step 1: Select country/market

Step 2: Select modules

Step 3: Set specifications

Step 4: Add templates/widgets

What is a product assessment?

1. A product assessment is a visually guided process where you must complete the following steps:

- Step 1: Select country/market
- Step 2: Select modules (product modules / project modules)
- Step 3: Set specifications*
- Step 4: Add templates/widgets**

*Specification options are determined by your selected country/market and product modules.

**The suggested templates and widgets are set by the project modules selected in step 2 (if any).

2. Your inputs result in the following being added to your product workspace:

- Compliance Requirements Lists
- Project Modules
- Document Templates*
- Label Templates*
- Widgets*

*Can be added manually before or after the product assessment.

Last updated: 2026-11-18

Sources and versions

The Compliance Gate Platform only covers the regulations, project modules, templates, and other items listed in the following documents:

- US Database
- EU Database
- UK Database
- CA Database
- AU Database

Limitations and risks

Markets

The regulations / directives / requirements covered for each market can be found here:

- US Database
- EU Database
- UK Database
- CA Database
- AU Database

Note: CGP Product Assessment - Markets Sidebar

Modules

1. Modules are generally based on product category and/or legislation scope.

2. We recommend that you access the source links via the LexDatabase and read the scope in the latest version of the relevant sources to understand if a module is relevant.

Note: Module titles refer to their general category, but the underlying legislation may define its scope more broadly. Your product may be subject to a regulation even if it does not relate to the module's name.

Note: We do not claim that modules cover all requirements that apply to the listed product categories/subject.

Note: CGP Product Assessment - Modules Sidebar

Last updated: 2026-11-18

Specifications

1. Specifications are generally based on the legislation scope and/or guidance pages.

2. We recommend that you access the source links via the LexDatabase and read the scope in the latest version of the relevant sources to understand if a specification is relevant.

Note: Specifications refer to the general scope, but the underlying legislation may define its scope more broadly. Your product may be subject to a regulation even if it does not match the specification.

Note: CGP Product Assessment - Specifications Sidebar

Templates / Widgets

Only templates listed in the following documents are available:

- US Database
- EU Database
- UK Database
- CA Database
- AU Database

Note: We do not claim to provide templates for all documents or labels that may apply to a particular product.

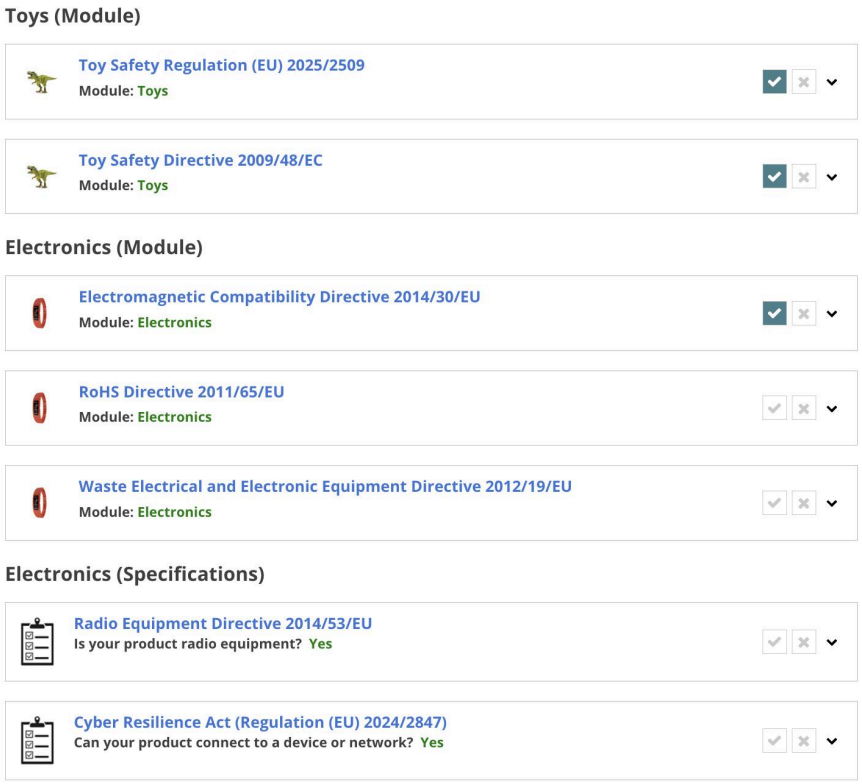
Note: We do not claim to provide templates for all documents, labels and other items that may be required by a particular regulation (including those associated with the selected project modules).

Note: CGP Product Assessment - Templates / Widgets Sidebar

Last updated: 2026-11-18

Compliance Requirements Lists (CRL)

i. Compliance Requirements Lists = CRL
ii. Compliance Requirements List Entry = CRL Entry



What is a Compliance Requirements List (CRL)?

1. A CRL is a list of regulations, directives, or other requirements created during the product assessment.

2. Each CRL entry is added to a CRL based on the following inputs:

- Country/market
- Product modules
- Specifications

Last updated: 2026-11-18

CRL Entries

1. Each CRL generally contains the following information:

- Title
- Introduction
- Scope
- Guidance page/s (if any)
- Overview
- Source/s

Note: Most CRL entries contain icons that can help you identify specific areas. For example, articles/parts specific to labelling requirements may have a label icon. Note that the icon is only added based on the title of the relevant article/part. Other articles/parts may also contain such requirements, even if there is no icon indicating this.

2. CRL entries serve the following purposes: ‘

- Provides a general overview of requirements
- Helps you navigate to relevant articles/annexes/regulations/parts of the covered source/s
- Guides you to relevant legislation, guidance pages, and other sources

3. A CRL entry does not contain the entire legislation, guidance document or other source. As such, it does not contain all the requirements or details found in the relevant source.

4. CRL entries are provided for general informational purposes only and do not contain legal advice.

How should we use a Compliance Requirements List (CRL)?

1. A CRL entry contains an overview of the contents of the covered regulation, directive, document, or other compliance topic. It serves as a first introduction to the covered subject.

2. You can access the relevant source by clicking on the blue button below the introduction, or follow the links in the source footer. Ensure that you select the latest version of the relevant legislation, guidance pages or other sources.

3. You must ultimately take action based on the requirements in the latest version of the relevant legislation, guidance pages or other sources. You should not take action based on the CRL entry alone, as it does not contain the entire source text.

Track progress: You can track progress by marking items as completed when a regulation/directive/other requirement is implemented. You can also dismiss items. The progress bars indicate the number of entries marked as completed. It does not confirm or approve the product as fully compliant.

Downloaded files: Software and/or browser-related errors when downloading a file may result in missing or incorrect text/images. Check the file after downloading. Report errors via the technical support form.

Note: CGP Workspace - CRL Sidebar

Last updated: 2026-11-18

Assets / Data / Sources

How do you create CRL entries?

1. We generally follow this procedure:

- Identify regulations/directive/requirement and source/s
- Write introduction
- Write scope
- List guidance pages/s (if any)
- List articles/annexes/parts in the overview
- Specify source/s and version/s

2. We use the latest version of the source available at the time of writing. Further, we use text strictly from the source whenever possible.

3. The CRL entry is assigned to a module or specification if one exists for the product/category. If not, we may add a new module or specification. The resulting module and/or specification are based on a generalised version of the scope.

Assets

The CRL database only covers regulations/directives/requirements listed in the following documents:

- US Database
- EU Database
- UK Database
- CA Database
- AU Database

CRL Source Footer

Category	Example
CRL source version: Each entry is based on the legislation/guidance page version available at the time of writing. This is only updated if the entry is rewritten entirely.	1. This entry is based on the consolidated version from 05/12/2022.
Created: This indicates the date the entry was approved for publication.	2. Created: 2025-09-26
Last update: This indicates the date of the last update (i.e., format edits, corrections, or general edits based on the source text).	3. Last update: 2025-12-20

Last updated: 2026-11-18

Sources / Versions

1. Each CRL entry is based on legislation texts and/or official guidance pages/documents.

Note: You can find information about the CRL entry versions and monitored sources in the LexDatabase. Note that some CRL entries are not actively monitored.

2. We primarily use data from the following sources:

- europa.eu
- EUR Lex
- eCFR
- US government agency websites (e.g., CPSC, FCC, FDA, DOE, FTC, and USDA)
- gov.uk
- legislation.gov.uk
- laws-lois.justice.gc.ca
- legislation.gov.au

3. Each CRL entry is based on the source version available at the time of writing (more recent versions of the source may be available).

Limitations and risks

1. The CRL database may not cover every single regulation that can apply to a certain product (including those for which we have relevant product/project modules).

2. The CRL entries do not contain the entire legislation source text. Hence, they do not cover every requirement, scenario, or piece of information present in the source text.

Note: You must ultimately take action based on the requirements in the latest version of the relevant legislation, guidance pages or other sources.

3. There can be errors in the CRL entries caused by human error or transcription mistakes.

4. A newer version of the source that the CRL entry is based on may exist. In such scenarios, the CRL entry is based on an outdated version.

Note: You can access the primary source by clicking on the blue button below the introduction, or follow the link in the source footer. When doing so, ensure that you select the latest version of the relevant legislation, guidance page or other source.

5. The linked sources may be outdated, or the URL may have been redirected to another page.

Note: You must ultimately take action based on the requirements in the latest version of the relevant legislation, guidance pages or other sources.

6. The CRL database generally does not cover product standards, case law, interpretations, or other documents related to covered regulations or directives. Further, we do not cover all delegated and implementing acts related to covered regulations and directives.

Note: We do not include requirements and graphics (e.g., warning texts) available in standards that may be harmonised or referenced by a certain regulation. For example, the CRL entry for CPSIA does not include information from ASTM F963-23. It is often necessary to purchase and read standards.

Note: For example, you may need to check the register of delegated acts and implementing acts, and guidance documents that may list such information.

7. The CRL database primarily covers US federal requirements, EU regulations and directives, UK regulations, Canadian acts and regulations, and Australian regulations.

EU: We do not cover national legislation of EU member states (including legislation based on EU directives).

US: We provide general information about certain areas of US state regulations and some (but not all) US state regulations.

CA: We only cover some (but not all) Canadian provincial regulations.

AU: We generally do not cover Australian state regulations.

8. The CRL entry scope is based on the written scope in the source to the extent possible. However, we do not take the entire source text into consideration. There may be scenarios in which additional products are covered, or exempt, from a particular regulation/directive/requirement.

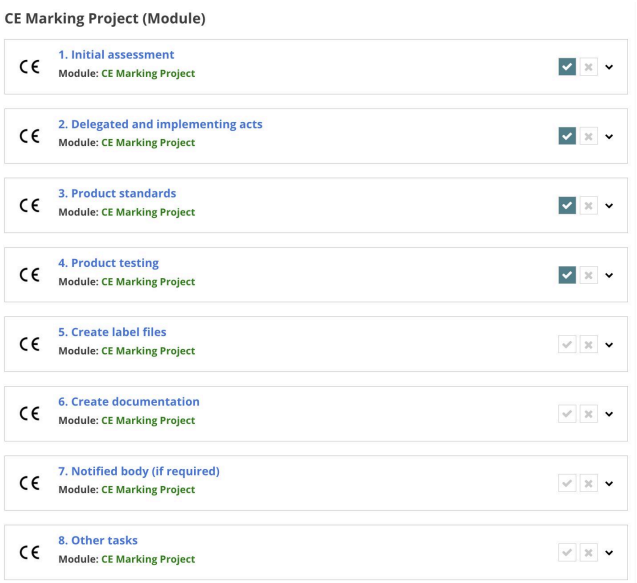
9. Each CRL is automatically generated based on user inputs. The system does not “know” or “understand” if these inputs are correct and/or relevant to the product.

10. CRLs (including their CRL entries) already created by users are not updated when we make updates to the CRL database. Each Compliance Requirements List contains the CRL versions available in the CRL database at the time of its creation.

Note: Users must complete a new product assessment to create a new CRL based on the latest versions available in the CRL database.

Last updated: 2026-11-18

Project Modules



What is a project module?

1. A project module provides a list of tasks that correspond to a specific article/part/section of a regulation, guidance page, or other source.

2. You can select and add project modules when completing a product assessment.

How should we use project modules?

1. A project module provides a list of tasks that correspond to a specific article/part/section of a regulation, guidance page, or other source.

2. You can access the relevant source by clicking on the blue button below the introduction, or follow the links in the source footer. Ensure that you select the latest version of the relevant legislation, guidance pages or other sources.

3. You must ultimately take action based on the requirements in the latest version of the relevant legislation, guidance pages or other sources. You should not take action based on the project module alone, as it does not contain the entire source text.

External resources: You may need to use external resources (i.e., websites to find standards or accredited labs) to complete certain tasks.

Track progress: You can track progress by marking items as completed when a step is implemented. You can also dismiss items. The progress bars indicate the number of entries marked as completed. It does not confirm or approve the product as fully compliant.

Downloaded files: Software and/or browser-related errors when downloading a file may result in missing or incorrect text/images. Check the file after downloading. Report errors via the technical support form.

Note: CGP Workspace - Project Modules Sidebar

Last updated: 2026-11-18

Assets / Data / Sources

How do you create project modules?

1. We generally follow this procedure:

- Identify regulation or guidance page
- Identify relevant article/part section of the source
- Group related items together in tasks (i.e., labelling)
- Extract text from the source and add to the task
- Write additional guidelines
- Specify source/s and version/s

2. We use the latest version of the source available at the time of writing. Further, we use text strictly from the source whenever possible.

3. We create each project module based on a specific article/part/section of a regulation, guidance page, or other source. Note that some project modules are based on sources that provide a generalised procedure, rather than a specific regulation. In such cases, actions based on regulations and directives not covered by the project module must be completed as part of the process.

Example: The EU PPWR module is based on Article 15 - Obligations of manufacturers. Other PPWR articles are not covered by the module.

Example: The CE marking project module is based on a generalised process for CE marking. Actions must still be taken based on the specific EU regulations and directives that apply to the product, even if these are not described in the project module.

Assets

The platform only covers project modules listed in the following documents:

- US Database
- EU Database
- UK Database
- CA Database
- AU Database

Sources / Versions

1. Each project module entry is based on specific article/part/section of legislation texts and/or official guidance pages/documents.

Note: The source/s and versions, scope, and limitations of each project module can be viewed under the first item of each project module.

2. We primarily use data from the following sources:

- europa.eu
- EUR Lex
- eCFR
- US government agency websites (e.g., CPSC, FCC, FDA, DOE, FTC, and USDA)
- gov.uk
- legislation.gov.uk
- laws-lois.justice.gc.ca

- legislation.gov.au

3. Each project module is based on the source version available at the time of writing (more recent versions of the source may be available).

Limitations and risks

1. Project modules may not cover all tasks that must be completed for a certain product in order to comply with the regulation, directive, or framework that these are based on.

Example: The EU PPWR module is based on Article 15 - Obligations of manufacturers. Other PPWR articles are not covered by the module.

Example: The CE marking project module is based on a generalised process for CE marking. Actions must still be taken based on the specific EU regulations and directives that apply to the product, even if these are not described in the project module.

2. The platform does not have project modules for all regulations, directives, frameworks, or other requirements covered by the platform. As such, the project modules do not cover all steps that must be completed to ensure compliance for a particular product.

3. A newer version of the legislation and/or guidance page the project module is based on may exist. As such, the project module may be outdated.

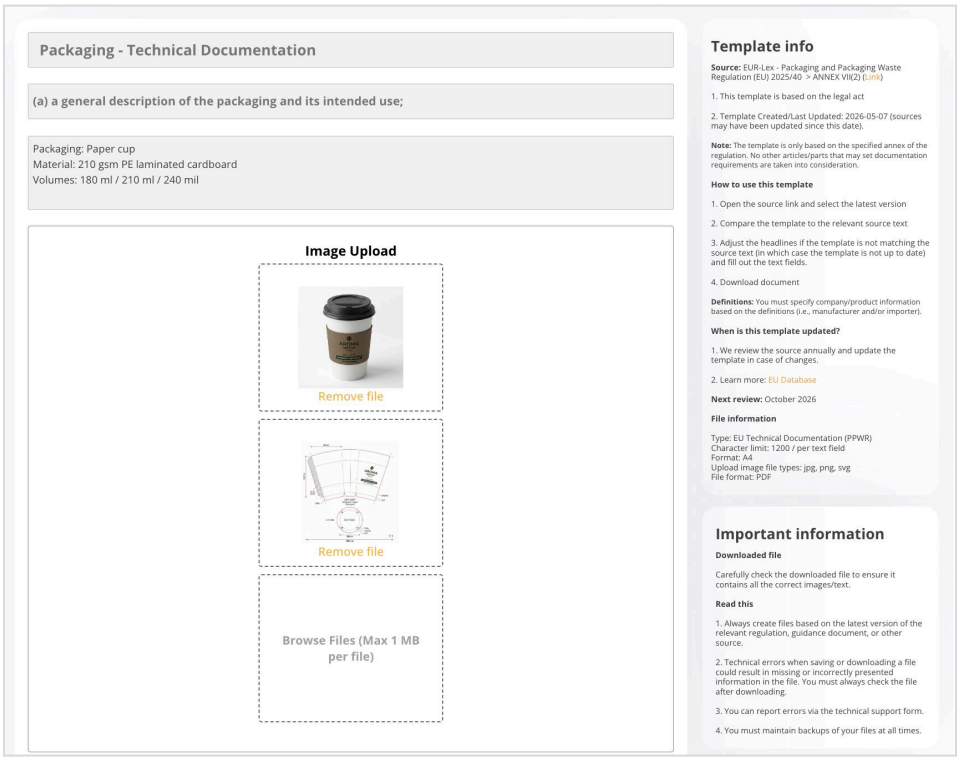
Note: Open the source link and select the latest version of the relevant legislation, guidance page or other source. Ensure that you take action based on the latest available version of the source/s.

4. The limitations and risks for Compliance Requirements Lists generally apply to project modules as well.

Last updated: 2026-11-18

Templates - Documents / Labels

What is a document template?



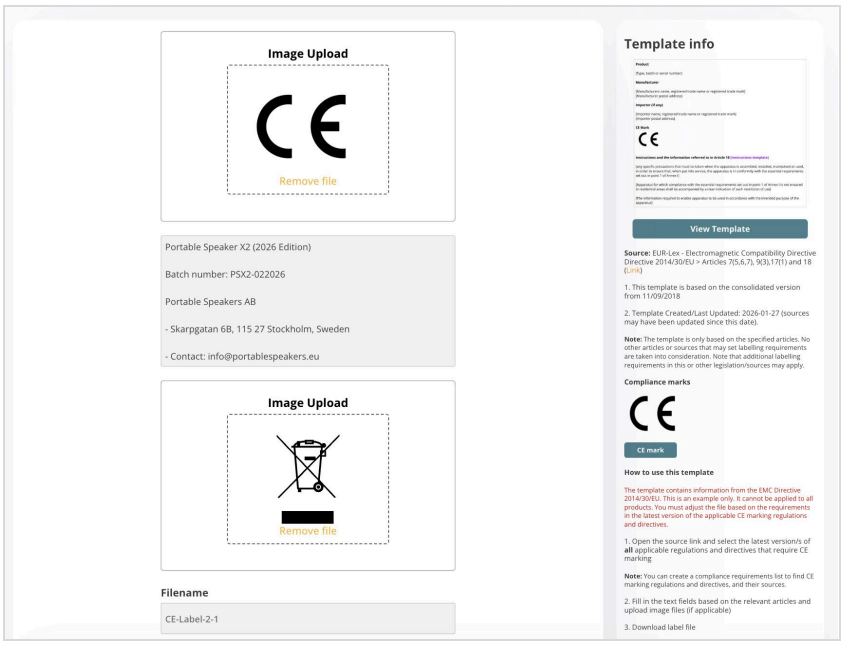
1. Certain legislation mandates the creation of declarations, certificates, technical documentation, instructions and other documents.

2. Document templates are only based on the specified articles/annexes/parts of the referenced legislation, guidance page, or other source.

Example: The PPWR Declaration of Conformity document template is only based on Packaging and Packaging Waste Regulation (EU) 2025/40 > ANNEX VIII.

Last updated: 2026-11-18

What is a label template?



1. Certain legislation sets requirements concerning marking and labelling requirements. Labels can, depending on the specific placement requirements, be printed on product, packaging, and/or accompanying documents.

2. Label templates are only based on the specified articles/annexes/parts of the referenced legislation, guidance page, or other source.

Example: The GPSR label template is only based on General Product Safety Regulation (EU) 2023/988 > Articles 6(1a,d), 9(5,6,7), 11(3), 16(1, 3), 19(d).

Last updated: 2026-11-18

How should we use templates?

1. Start by selecting a template relevant to your product.

2. You must carefully read the instructions in the right sidebar and follow the guidelines.

- Open the source link and select the latest version/s
- Edit headlines/items based on the latest source version/s
- Fill in the template

3. You must ultimately create/affix/submit documents and labels based on the requirements in the latest version of the relevant legislation, guidance pages or other sources. Note that label placements can be conditional.

Definitions: You must specify company/product information based on the definitions (i.e., manufacturer and/or importer).

Input information: The exact wording used in documents, instructions, warnings, and other files can be open-ended and not specified in legislation or other sources. The user must decide how to fill in such information in templates.

Note: Some templates require that you input information from several sources (i.e., regulations, directives, standards, and guidance pages).

4. Download a PDF copy of your documents/labels to ensure that you maintain backups at all times.

Documents: Certain regulations require that documents be maintained for a certain period of time (e.g., 10 years after you have placed a product on the market). Further, some documents must also be printed and signed.

Labels: Further editing in other software may be necessary. We also recommend that you book a third-party label review before affixing label information on the product, packaging, or documentation.

Downloaded files: Software and/or browser-related errors when downloading a file may result in missing or incorrect text/images. Check the file after downloading. Report errors via the technical support form.

Note: CGP Workspace - Document / Label Sidebar

Last updated: 2026-11-18

Assets / Data / Sources

How do you create templates?

1. We generally follow this process when creating new templates:

- Identify source/s
- Select articles/annexes/parts/sections*
- Add items to template (exact match from source)

***Methodology**

i. Documents: Based only on a single article/annex/part/section

ii. Labels: We only select certain articles/annexes/parts/sections

iii. Instructions: We search the term “instruction” and select relevant articles/annexes/parts/sections

2. We add templates to the US / EU / UK / CA / AU database files

- Label items are marked in red
- Placement information is marked in blue
- Infobox information is marked in violet

3. We add the templates to an internal database, write instructions in the sidebar, and proceed to publishing the template to the platform.

4. We carry out a post deployment check to verify that the template contains all the selected label items

Note: Templates may be assigned to a project module to ensure that users can optionally add templates during a product assessment.

Note: The latest version of each template has a tag indicating this. Previous template versions are tagged as outdated. Note that “latest version” refers to the latest template in the CGP and not necessarily corresponding to the latest version of the relevant source.

Assets

You can find a list of templates (and the data and versions these are based on) in these documents:

- US Database
- EU Database
- UK Database
- CA Database
- AU Database

Sources / Versions

1. Each document and label template is based on specified parts of legislation texts and/or official guidance pages/documents.

Note: You can find information about the sources, versions, and specific parts that templates are based on in the US / EU / UK / CA / AU Databases.

2. We primarily use data from the following sources:

- europa.eu
- EUR Lex

- eCFR
- US government agency websites (e.g., CPSC, FCC, FDA, DOE, FTC, and USDA)
- gov.uk
- legislation.gov.uk
- laws-lois.justice.gc.ca
- legislation.gov.au

3. Each document and label template entry is based on the source version available at the time of creation (a more recent version of the source may be available).

Last updated: 2026-11-18

Limitations and risks

1. We do not claim to provide templates for all document and labelling requirements in the covered countries/markets.

2. We do not claim to provide templates for all documents and labelling requirements that may apply to a certain product (including those for which we have relevant product/project modules).

3. We do not claim that the document and label templates based on a particular regulation cover all documentation and labelling requirements under that same regulation. The templates are only based on the specified parts of the relevant regulation, guidance page, or other source.

Note: While we do a keyword search for label and instruction templates, we do not guarantee that we can identify all such items. Further, some items may be conditional or only apply in specific cases and therefore not included in the template.

4. A newer version of the legislation and/or guidance page the template is based on may exist. As such, the template may be outdated.

Note: Open the source link and select the latest version of the relevant legislation, guidance page or other source. Ensure that you fill in a template based on the latest available version of the source/s.

5. The templates do not cover information or graphics that are part of product standards.

6. Regulations can sometimes be open-ended in the sense that they leave certain decisions to the manufacturer, importer or other entities. For example, the exact wording of instructions, warnings or batch number formats is often not provided in legislation or guidance pages – and is therefore not part of the templates.

7. Certain label information and the placement of labels (i.e., affix on product or packaging) is often conditional. As such, we do not provide definitive placement instructions in the templates. You must design and affix the label based on what is written in the latest version of the relevant regulation, guidance document or other source.

Last updated: 2026-11-18

Widgets

Add later

Last updated: 2026-11-18

Other Features

LexDatabase

Market	Module	Title	Last checked	Version	CRL version	Email Track
	Aerosol Dispensers	Aerosol Dispenser Directive 75/324/EEC (Source)	2026-10-04	12/02/2018	12/02/2018	☑
	AI Devices	Artificial Intelligence Act (Regulation (EU) 2024/1689) (Source) **Date:** 2026-08-02 Recent update Detected in the last **31-90 days**	2026-10-04	27/07/2026	27/07/2026	☐
	Batteries	Batteries and Accumulators Directive 2006/66/EC (Source)	2026-10-04	04/07/2018	04/07/2018	☑
	Batteries	Batteries Regulation (EU) 2023/1542 (Source) **Date:** 2025-08-18 New version Detected in the last **30 days**	2026-10-04	13/08/2026	31/07/2025	☐
	Batteries	Capacity Labelling Regulation (EU) 1103/2010 (Source)	2026-10-04	Legal act	Legal act	☐
	Batteries	UN Manual of Tests and Criteria - Section 38.3 (Source) Not monitored Source not monitored (See FAQ)				☐
	Biocides	Biocidal Products Regulation (EU) 528/2012 (Source) Recent update Detected in the last **31-90 days**	2026-10-04	19/08/2026	19/08/2026	☐

Email notification

Hello,

New versions of the following LexDatabase items added to your email alerts list were detected during our last review:

United States – Title 21 – Food and Drugs – Medical Devices
United States – 40 CFR Chapter I Subchapter E – Pesticide Programs
Australia – Competition and Consumer Act 2010
Australia – Therapeutic Goods (Medical Devices) Regulations 2002
Australia – Food Standards Code
United States – 16 CFR PART 1233 – SAFETY STANDARD FOR PORTABLE HOOK-ON CHAIRS
Australia – Industrial Chemicals Environmental Management Standard – IChEMS

Please sign in to the Compliance Gate Platform and go to the LexDatabase to learn more.

Last updated: 2026-11-18

What is LexDatabase?

1. The LexDatabase allows you to search all regulations, directives, and other compliance requirements covered by the CRL database.

2 The LexDatabase items contain the following information:

Item information	Description
Module	This is the module that the item is categorised under in the database (an item may still be relevant to other categories/modules).
Title	This is the title of the legislation, guidance page, or other source
Source	Link to the source
Last checked	This date indicates the last time we manually checked the source to check if a newer version exists (done monthly).
Version	This is the latest version we detected in the source (legislation, guidance page or other source) during our last check
CRL Version ✅ ⚠️	1. This is the version the current CRL entry is based on. 2. A green tick indicates that the CRL entry is based on the latest source version (detected during our last review) 3. A yellow exclamation mark indicates that the CRL entry is not based on the latest source version (detected during our last review). This appears until the CRL entry is updated.
Email alert (input option)	We will send an email notification when a new version has been detected, and the LexDatabase has been updated. **Important:** Read the Email Settings Guidelines for more information.
[Upcoming]	Appears on items in the database with a future date (only new and upcoming items are listed, not future amendments of existing items). **Note:** The date, which represents the date on which the item starts to apply/become effective, will also be shown once the "upcoming" tag disappears (due to the fact that the date has been reached)
[New version]	Appears on items for which an updated version was detected in the last 30 days (it only includes updates of existing regulations)
[Recent update]	Appears on items for which an updated version was detected in the last 31 - 90 days
[Not monitored]	Appears on items whose sources are not actively monitored.

4. The LexDatabase can also be used to monitor new and updated regulations, directives, and other compliance requirements.

Note: The LexDatabase items also appear as CRL entries when creating a CRL.

Last updated: 2026-11-18

LexDatabase Workflows / Email Alerts

1. When a new version is found, we log the date of detection. This triggers the **new** tag for 30 days, which changes to the **recent** tag from day 31 until day 90. Note that a new version is detected after the source has been updated, as this review is done manually once per month. As such, these tags do not reflect the date of the new source version.

2. Email alerts are sent based on the following conditions:

- LexDatabase item email alert setup = **Yes**
- New version detected = After the **previous email** was sent
- New LexDatabase version published to live application = **Yes**

3. You can find a timeline example further down in this document.

When is the LexDatabase updated?

1. We review sources manually once per month. This process can take place over several days (normally during the 1st and 2nd week of each month).

2. We log the dates of the last check and update the LexDatabase when a new version is detected.

Can we find all upcoming regulations in the LexDatabase?

1. No, you can only find upcoming regulations that have been added to the LexDatabase. We do not claim to cover all regulations in the covered markets.

2. We continue to add regulations to the LexDatabase, which is determined on a case-by-case basis.

Last updated: 2026-11-18

How should we use the LexDatabase?

1. The LexDatabase can help you monitor upcoming regulations and track updates.

2. You can filter LexDatabase items:

Filter item	Description
Market	Shows items that belong to the selected country/market
All (Default)	Shows all items that belong to the selected country/market and modules
Upcoming	Shows items in the database with a future date (only new and upcoming items are listed, not future amendments of existing items).
Email alert list	We will send an email notification when a new version has been detected, and the LexDatabase has been updated. **Important:** Read the Email Settings Guidelines for more information.
New update (0 to 30 days)	Shows items for which an updated version was detected in the last 30 days. Only new versions (updates) of existing regulations are flagged as updates. The initial version is not flagged as an update.
Recent update (31 to 90 days)	Shows items for which an updated version was detected in the last 31 - 90 days
Module	Shows items that belong to the selected module (combined with other filters)

3. You can add items to an email alert list. We will send an email notification when a new version has been detected, and the LexDatabase has been updated.

Important: Email notifications can fail to be delivered due to technical errors, firewalls, or rules set by your email provider/server. You should never rely on email notifications alone to stay informed.

Note: It is important that you frequently check the LexDatabase and read the monthly reports.

Note: LexDatabase Sidebar / Exclude table

Last updated: 2026-11-18

Email Settings Guidelines

Email notifications can fail to be delivered due to technical errors, firewalls, IP-address blocks, or rules set by your email provider/server. You should never rely on email notifications alone. Sign in at least once a month to track updates/news. Following these guidelines can help you reduce the risk of email delivery failure:

Step 1: Add info@compliancegate.com to your contacts or safe sender list.

Gmail: If the email appears under “Promotions”, then drag it to “Primary”.

Step 2: Frequently search your mailbox for compliancegate.com in case a message was delivered to another folder.

Step 3: If you find an email from info@compliancegate.com (or another @compliancegate.com address) in your spam or junk folder, mark it as “Not Spam” or move it to your inbox.

Step 4: Make sure you signed up with the correct email address and that you are expecting emails from us.

Step 5: If your company uses a security system or spam filter, please ask your IT team to:

- Allow emails from info@compliancegate.com and @compliancegate.com
- Check whether our messages were quarantined or blocked
- Add our sending address/domain to your organisation’s safe sender list

Did you not receive email notifications?

Please contact us immediately via the technical / billing support form if you do not receive email notifications.

Note: Emails are sent via Sendgrid with a dynamic IP address. This means that a range of IP-addresses are used to send emails. Specific IP-addresses can be blocked for various reasons which can result in email notifications not being delivered. Note that the IP-address is often different for each email sent. As such, you may receive email notifications one month but not the following month.

Note: The exact steps may vary depending on your email provider or company email system (e.g. Gmail, Outlook, Apple Mail, cPanel, or others).

Note: These steps can help improve delivery. However, email providers and company mail systems ultimately decide how messages are handled. We cannot guarantee that our sent emails will be delivered to your inbox.

Note: LexDatabase footer

Last updated: 2026-11-18

Assets / Data / Sources

How do you create LexDatabase items?

1. We generally follow this process when adding a new LexDatabase item:

- Add regulation/directive/requirement
- Version
- CRL version
- Source URL
- Monitored/Not monitored
- Application date (if any)
- Last checked date
- Log

2. The LexDatabase item is assigned to a market and module.

Assets

The LexDatabase only covers items listed in these documents:

- US Database
- EU Database
- UK Database
- CA Database
- AU Database

Sources / Versions

1. Each LexDatabase item is based on legislation texts and/or official guidance pages/documents.

2. We primarily use data from the following sources:

- europa.eu
- EUR Lex
- eCFR
- US government agency websites (e.g., CPSC, FCC, FDA, DOE, FTC, and USDA)
- gov.uk
- legislation.gov.uk
- laws-lois.justice.gc.ca
- legislation.gov.au

3. Each LexDatabase item is based on the version available at the time of the last review (more recent versions of the source may be available).

Last updated: 2026-11-18

Limitations and risk

1. We only monitor new regulations and new versions of regulations (updates) as these are published in legislation databases (e.g., EUR Lex and the EU Official Journal). We may report on new regulations and updates before or after they have entered into force and/or apply.

2. We only review the sources listed in the US / EU / UK / CA / AU Databases.

3. Some LexDatabase items are not based on sources that we actively monitor. We normally specify which items are not monitored.

Note: Not all sources of listed items are actively monitored. View the LexDatabase for more information.

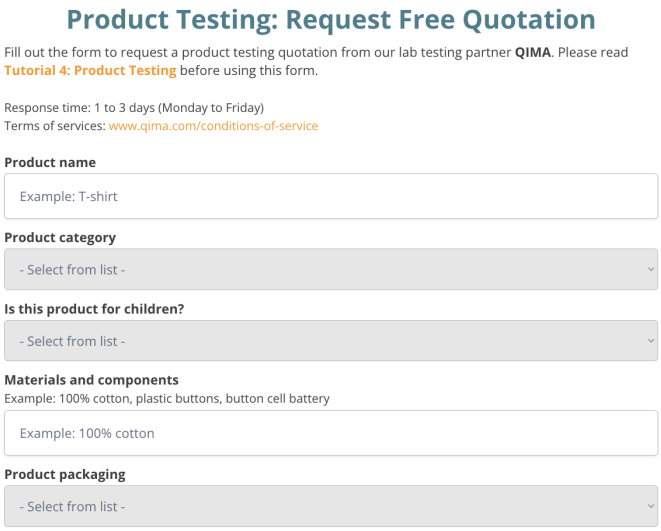
4. We do not guarantee that we detect all new versions of regulations (updates), or new regulations that may be relevant (including new regulations related to existing modules).

5. We do not update LexDatabase items (or CRL entries) or other platform features in real-time. There is always a delay from the announcement to updating the platform.

Last updated: 2026-11-18

Product Testing / Other Third-Party Services

Product testing



Product Testing: Request Free Quotation

Fill out the form to request a product testing quotation from our lab testing partner **QIMA**. Please read **Tutorial 4: Product Testing** before using this form.

Response time: 1 to 3 days (Monday to Friday)
Terms of services: www.qima.com/conditions-of-service

Product name

Example: T-shirt

Product category

- Select from list -

Is this product for children?

- Select from list -

Materials and components

Example: 100% cotton, plastic buttons, button cell battery

Example: 100% cotton

Product packaging

- Select from list -

1. You can request product testing quotations via a form.

2. Lab testing companies generally assess applicable tests based on the information provided.

3. The terms of service of the service provider apply. We do not provide any warranties or guarantees concerning the provided services.

Guidelines

1. Ask the testing company to confirm (in writing via email) that they have based the quote on all requirements they deem applicable to your product. If this is not stated in an email or quotation file, repeat this question until you have a confirmation.

2. It must be clearly stated which regulations/standards or other requirements the quote is based on. Ask the testing company to provide this information if it is not clear in the quotation.

Do not confirm a quote or make a payment until points 1 and 2 have been confirmed!

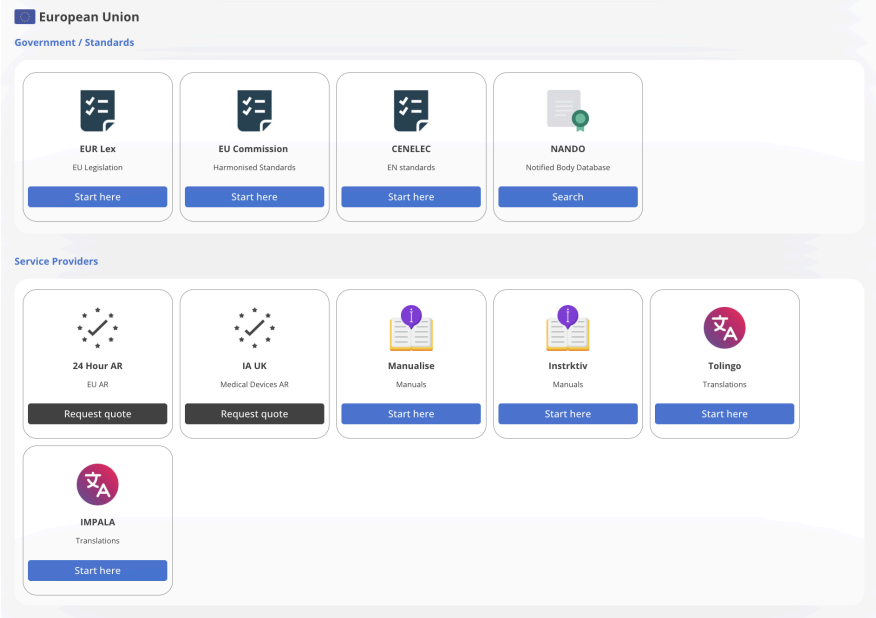
3. If the testing company cannot provide a quotation or only a particular quote (i.e., if they lack capability), then please contact us immediately so that we can suggest alternatives.

4. We do not actively monitor the communication between you and the testing company. If you have questions for us or need our feedback, then you must take the initiative to contact us via the technical support form.

Note: Product testing form confirmation text

Last updated: 2026-11-18

Service Page



1. We list service providers on the service page.

2. You can request quotations directly from the service provider.

3. The terms of service of the service provider apply. We do not provide any warranties or guarantees concerning the provided services.

Last updated: 2026-11-18

Limitations and risks

1. We cannot guarantee that the testing companies have the necessary accreditations or capability to test according to all regulations or standards that may apply to a certain product.

Example: If you need a test report from an CPSC-accepted lab, then ask them to confirm that they are using a CPSC-accepted lab facility in writing. Further, ask them to confirm the number and validate this in the official database.

Example: If you need a test report from an ISO 17025 accredited lab, then request a copy of this document and verify with the issuer.

2. We do not guarantee that third-party service providers can always provide an accurate assessment of the applicable standards or other testing requirements.

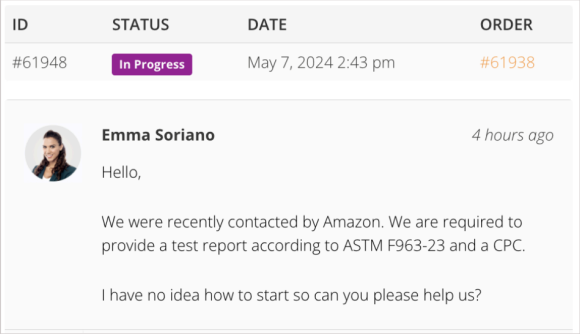
3. The terms of service of the third party apply to all transactions between your company and the service provider. We do not offer any warranties or guarantees for any services provided by third parties.

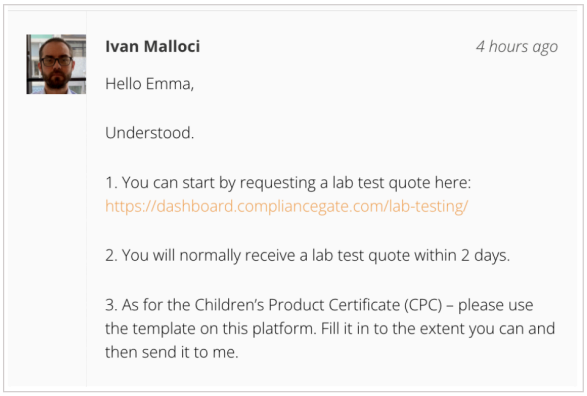
4. We do not actively monitor the communication between our users and the relevant third parties. As such, we are not aware of any mistakes or issues related to the provided services.

5. We do not review or approve the service scope or quotations (i.e., lab test assessments). As such, we do not assess if the service scope is correct or not.

Last updated: 2026-11-18

Support Tickets





What is a support ticket?

Support tickets allow you to ask questions about US, EU, UK, Canadian or Australian product compliance requirements directly to our support team. We are available from Monday to Friday.

- You can submit a support ticket listing up to 5 questions.
- Each support ticket can only be dedicated to a single product and market.
- We can generally only provide support related to requirements in the CRL database

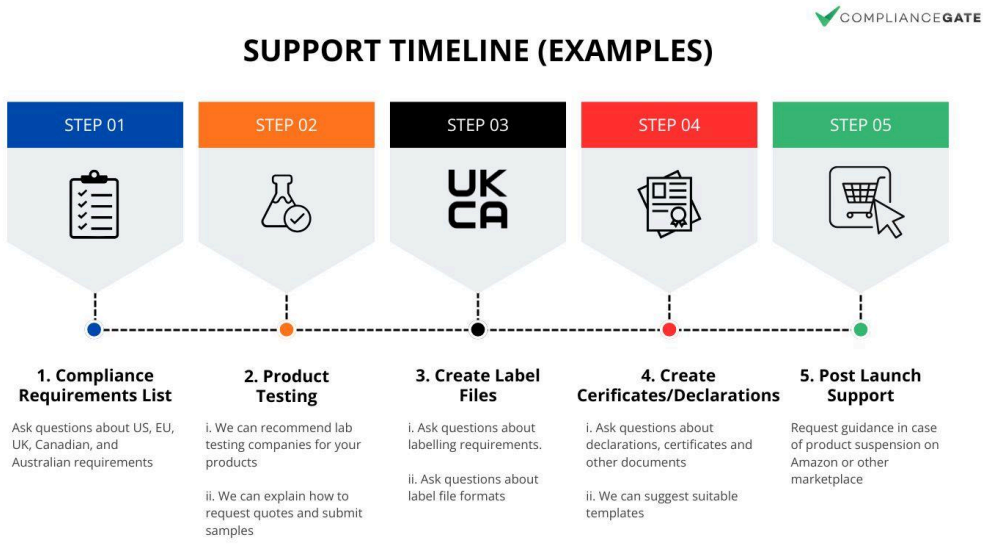
Last updated: 2026-11-18

How should we use support tickets?

1. You can ask questions about covered product compliance requirements, such as:

- Regulations
- Labelling
- Documents
- Testing
- Packaging

2. This image provides examples of how support tickets can be used over time:



Last updated: 2026-11-18

Assets / Data / Sources

Sources / Versions

1. We can only respond based on what is written in regulation texts, guidance pages, and other official sources. Here are some examples of sources we use:

- europa.eu
- EUR Lex
- eCFR
- US government agency websites (e.g., CPSC, FCC, FDA, DOE, FTC, and USDA)
- gov.uk
- legislation.gov.uk
- laws-lois.justice.gc.ca
- legislation.gov.au

2. This also means that we can only respond to questions to the extent that these are clearly addressed in the regulation texts, guidance pages, and other official sources. Bear in mind that the sources do not address every possible scenario or question. Further, we cannot guarantee that we can identify every source or document relevant to the question.

3. Note that we can also provide guidance based on our own experience. However, this is limited to practical aspects of the process (e.g., how to submit samples to testing companies).

Last updated: 2026-11-18

Limitations and risks

1. We can only relay information as it is written in source texts, and provide general guidance.

Note: This means that we can only refer to what is written in a certain source text. If your question is not answered or even addressed in the source text, then we generally cannot provide an answer either.

2. When we search source texts, we cannot guarantee that we have found everything that relates to the question or objective.

3. We do not provide legal advice, interpretations, engineering/technical advice, or confirm applicable regulations or standards for specific products.

4. We cannot “confirm” or “approve” a product as “fully compliant” or compliant with a certain set of requirements.

5. We cannot guarantee that any answer we provide via support covers every single possible scenario or outcome.

6. We do not ‘approve’ or ‘confirm’ labels, documents, certificates, test reports, or other files - including those created using the Compliance Gate Platform.

7. We do not provide label reviews.

8. We do not actively manage the process on our users’ behalf. For example, we do not create label files, documents, or communicate with marketplaces or other entities on their behalf.

Note: Support ticket page sidebar

Last updated: 2026-11-18

User Management

Adding users

1. The main user can add additional sub users from this page.

2. Sub users cannot add additional sub users. Please contact the main user if you wish to add additional users.

3. The main user is the user who created the account when subscribing to the Compliance Gate Platform.

Edit user details

All users (main user and sub users) can edit their email, password, and other details via the account details page.

How many users can be added?

You can view the number of users included in each plan here.

Note: Contact us via technical / billing support if you wish to upgrade to a plan that includes additional users.

Note: Multi-User Accounts footer

Last updated: 2026-11-18

User Access

Page/Feature	Shared workspace All users have access	Individual workspace Each user has individual access	User type
Products - Your Products - Product Workspace (All tabs) - Backups	✅ All users can add, edit, view and delete products and associated files/data ✅ All users can complete product assessments ✅ All users can add, edit, view, and delete CRLs, project modules, templates, and widgets		Main users and subusers (full access)
Service forms - Product testing - Label reviews - Other services	✅ All users can request lab test quotations (and other services)		Main users and subusers (full access)
LexDatabase		✅ Users can view the LexDatabase and set up email alerts (sent to each individual user's email address).	Main users and subusers (full access)
Support tickets		✅ Users submit and view support tickets individually	Main users and subusers (full access)
My Account		✅ Only the **main user** can add subusers, update company information, view and place orders ✅ All users can update their email address, password, and other personal data.	Main user (full access) Subusers (limited access)
Other pages - Monthly reports - Platform updates - Documentation - Academy - Technical support	✅ All users can access the pages and send technical support requests		Main users and subusers (full access)

Note: You cannot set access permissions for individual users. As such, you should only invite users with whom you are willing to share the information stored in your account.

Last updated: 2026-11-18

Technical errors and precautions

1. Software and/or browser-related errors can result in information being incorrectly presented in the application.

2. Software and/or browser-related errors when downloading a file may result in missing or incorrect text/images. Check the file after downloading. Report errors via the technical support form.

3. Email notifications can fail to be delivered due to technical errors, firewalls, or rules set by your email provider/server. You should never rely on email notifications alone to stay informed.

Note: Please read the Email Settings Guidelines in the LexDatabase for more information on how you can reduce the risk of email delivery failure.

Guidelines

Feature	Guidelines
Compliance Requirements Lists	a. Check that the CRL matches your inputs b. Refresh the page (if needed) c. Check the downloaded PDF - does it contain all CRL entries?
Project Modules	a. Check that the project modules match your inputs b. Refresh the page (if needed) c. Check the downloaded PDF - does it contain all items?
Templates	a. Template: Compare to the latest version of the relevant source/s b. Downloaded file: Compare to the latest version of the relevant source/s c. Carefully check the downloaded file to ensure it contains all the correct images/text d. Check that all the templates selected during the product assessment are added to the product workspace
LexDatabase	a. Sign in at least once a month to track updates/news. b. Did you receive the last email alert? If not, read the email setting guidelines and contact us

Important

a. Please contact us via the technical support form immediately if you encounter an error.

b. Ensure that your browser is updated to the latest version.

c. We test the Compliance Gate Platform in the following browsers:

- Google Chrome

Last updated: 2026-11-18

Monitoring / Platform Updates

Feature Overview

This table details the update frequency for Compliance Gate Platform features.

Feature	Monthly Review	Annual Audit	Notes
CRL Database	✅	✅	
Project Modules	None	✅	
Document Templates	None	✅	
Label Templates	None	✅	
LexDatabase	✅	✅	
Other		✅	Product testing forms, Widgets, Service Page

Last updated: 2026-11-18

Monthly Review Process

This section explains the review process we repeat on a monthly basis.

Phase 1: Source Monitoring - New Versions / New Regulations

1. Open source links in the **LexDatabase** to determine if a new version of the source has been published since the last monthly review.

Country/Market	Procedures
USA	**eCFR** 1. We check monitored US regulations in the eCFR to determine if a new version has been published **since the last** monthly review. 2. New eCFR versions can be **dated** before or after the month in which they are published in the eCFR. We report all new eCFR versions detected during the last review, regardless of the eCFR version date. **Other sources** 1. We also monitor the following pages concerning regulations that we cover and that are not listed in the eCFR: • NIST - NIST Handbook 130 - Current Edition (Link) - Uniform Packaging and Labeling Regulation (UPLR) • U.S. Government Publishing Office - Fair Packaging and Labeling Act (Link) • Toxics in Packaging Clearinghouse - Model Toxics in Packaging Legislation (Link) • U.S. Environmental Protection Agency - The Mercury-Containing and Rechargeable Battery Management Act - Public Law 104-142 (Link) • U.S. House of Representatives - 15 USC 2056e: Consumer product safety standard for button cell or coin batteries and consumer products containing such batteries (Link) • U.S. House of Representatives - CHAPTER 53—CONTROL OF ILLEGALLY TAKEN FISH AND WILDLIFE (Lacey Act) (Link) • U.S. Government Publishing Office - CHAPTER 8 - FALSELY STAMPED GOLD OR SILVER OR GOODS MANUFACTURED THEREFROM (Link) • U.S. Government Publishing Office - §1278a. Children's products containing lead; lead paint rule (Link) • U.S. House of Representatives - 15 USC 2056c: Sulfur content in drywall standard (Link) • U.S. House of Representatives - 15 USC 1472a: Special packaging for liquid nicotine containers (Link) 2. New versions can be **dated** before or after the month in which they are published. We report all new versions detected during the last review, regardless of the version date.
EU	1. We check monitored EU regulations/directives in EUR Lex to determine if a new consolidated version has been published **since the last** monthly review. 2. New consolidated versions can be **dated** before or after the month in which they are published in EUR Lex. We report all new consolidated versions detected during the last review, regardless of the consolidated version date.
UK	1. We check monitored UK regulations in Legislation.gov.uk to determine if a new version has been published **since the last** monthly review. 2. New updated versions can be **dated** before or after the month in which they are published in Legislation.gov.uk. We report all new updated versions detected during the last review, regardless of the updated version date.
Canada	**Justice Laws Website** 1. We check monitored Canadian regulations on the Justice Laws Website to determine if a new version has been published **since the last** monthly review. 2. New amended versions can be **dated** before or after the month in which they are published on the Justice Laws Website. We report all new amended versions detected during the last review, regardless of the amended version date.

Last updated: 2026-11-18

	Other sources 1. We also monitor the following pages concerning regulations that we cover and that are not listed on the Justice Laws Website: • Province of British Columbia - Business Practices and Consumer Protection Act (Link) • Québec Official Publisher - C-11 - Charter of the French language (Link) • Québec Official Publisher - CONSUMER PROTECTION ACT (Link) • Government of Alberta - Consumer Protection Act (Link) • Government of New Brunswick - Consumer Protection Act (Link) • Government of Nova Scotia - Consumer Protection Act (Link) • Government of Prince Edward Island - Consumer Protection Act (Link) • King's Printer for Ontario - Consumer Protection Act, 2002, S.O. 2002, c. 30, Sched. A (Link) • House of Assembly of Newfoundland and Labrador - CONSUMER PROTECTION AND BUSINESS PRACTICES ACT (Link) • Office of the King's Printer - Consumer Protection and Business Practices Act, C-30.2 (Link) - Saskatchewan • King's Printer for Manitoba - The Consumer Protection Act, C.C.S.M. c. C200 (Link) 2. New versions can be **dated** before or after the month in which they are published. We report all new versions detected during the last review, regardless of the version date.
Australia	**Federal Register of Legislation** 1. We check monitored Australian regulations in the Federal Register of Legislation to determine if a new version has been published since the last monthly review. 2. New latest versions can be **dated** before or after the month in which they are published on the Federal Register of Legislation. We report all new latest versions detected during the last review, regardless of the latest version date. **Other sources** 1. We also monitor the following pages concerning regulations that we cover and that are not listed on the Federal Register of Legislation: • Food Standards Australia New Zealand - Food Standards Code legislation (Link) • ACCC Product Safety - Safety guidance on concentrations of particular chemicals in certain consumer goods (Link) • Department of Climate Change, Energy, the Environment and Water. 2026. - Industrial Chemicals Environmental Management Standard - IChEMS (Link) 2. New versions can be **dated** before or after the month in which they are published. We report all new versions detected during the last review, regardless of the version date.

a. We generally aim to detect new versions published in the previous month
b. New versions can be dated before or after the month in which they are published in the legislation database
c. We report the new versions when detected (regardless of the date of the new version)

Example: During the first week of April 2026, we reviewed legislation databases to identify new versions published in March. Most versions that were published in March were also dated to the same month. That said, some updated versions published that month were dated to February and May.

Note: We only monitor updated/new versions as they are published in legislation databases for the listed regulations/directives. We only check sources monthly, which means that there is a delay from the publication of a new version until the point that we update the LexDatabase and CRL database.

2. The following actions are taken in the LexDatabase:

Update	Action / Conditions
a. Last checked	Date is updated for all monitored LexDatabase items
b. Version	The version date is updated only If **new version** detected in the source
c. New version flag	The date of detection is logged (which triggers the **new** and later the **recent** tags).

Note: New versions (updates) logged to the LexDatabase trigger email notifications.

Note: All items (regulations) with detected updates are added to the Monthly Report.

Last updated: 2026-11-18

3. CRL entries are rewritten (in the internal database) when a new version (update) has been detected.

CRL entry part	Action / Conditions
Source link	1. We click on the source link to confirm if it is still correct. 2. We may need to change it in some cases (e.g., the source link is a guidance page and the content of the page has been moved to a different URL).
Introduction **Exact match check**	1. If the introduction is an "exact match" of a part of the regulation or a guidance page, we confirm that it is still matching. If not, we will update the introduction based on the new version. 2. If the introduction is not an exact match, we locate the section used to create it (e.g., Article 1), assess whether there were modifications that have changed it, and update the introduction, if necessary.
Notes (if any)	If there are notes, we assess if any update is needed.
Scope **Exact match check**	1. If the scope is an "exact match" of a part of the regulation or a guidance page, we confirm that it is still matching. If not, we update the scope. 2. If the scope is not an exact match, we locate the section used to create it (e.g., Article 2), assess whether there were modifications that have changed it, and update the scope, if necessary.
Guidance page (if any)	We assess if any updates are needed (e.g., a redirected link or other issues).
Overview **Always updated**	The overview is completely replaced in all cases: 1. We copy and paste the new overview from the source 2. We add the necessary formatting and icons
Other sections (if any)	If there are other sections, we assess if any update is needed
Product testing	No changes
Sources **Always updated**	1. We assess if any source must be updated (e.g., due to a redirect) 2. We update the following information in the Note section: • Version • Creation date • Last update date
Specification (if any)	We assess if any changes are needed (e.g., in the case the scope has changed)
Infobox (if any)	We assess if any changes are needed (e.g., in the case the scope has changed)
Links	We click on all links to make sure they are still valid, and there are no redirects, broken links, or other issues.

4. We review new regulations announced in official sources dated to the previous month

- New regulations may also be added to the LexDatabase / CRL entries*
- New regulations deemed relevant are added to the Monthly Report

*This is decided on a case-by-case basis. We may also add templates based on new detected regulations.

Last updated: 2026-11-18

United States

Procedures	1. We review new regulations announced in the regulations.gov search results (Link) dated to the **previous month**, filtering for: • "Rule" and "Proposed Rule" and • The following US government agencies: EPA, FCC, FDA, DOT, FTC, CPSC, DOE, OSHA, USDA 2. We select regulations that we find and deem relevant to the product categories we cover. **Note:** We do not report new amendments of US regulations (we only report on new versions for legislation listed in the LexDatabase).
Limitations	**TSCA / FIFRA / California Proposition 65 Substances** We do not report updates that concern individual substances (e.g., new pesticide tolerances) for the following regulations: • 40 CFR Chapter I Subchapter R - Toxic Substances Control Act (TSCA) (Link) • 40 CFR Chapter I Subchapter E - Pesticide Programs (Link) • California Proposition 65: OEHHA Latest News (Link) You can find these updates in the Federal Register or the OEHHA website (see the above links). **Department of Energy (DOE)** We do not report updates that are specific to individual products (e.g., test procedures, energy conservation standards, or determinations for heat pumps) for the following regulations: • 10 CFR PART 429 - CERTIFICATION, COMPLIANCE, AND ENFORCEMENT FOR CONSUMER PRODUCTS AND COMMERCIAL AND INDUSTRIAL EQUIPMENT (Link) • 10 CFR PART 430 - ENERGY CONSERVATION PROGRAM FOR CONSUMER PRODUCTS (Link) • 10 CFR PART 431 - ENERGY EFFICIENCY PROGRAM FOR CERTAIN COMMERCIAL AND INDUSTRIAL EQUIPMENT (Link) You can find these updates in the Federal Register (see the three above links).

Last updated: 2026-11-18

European Union

Procedures	1. We review new regulations/directives announced in the Official Journal of the European Union (Link) dated to the **previous month**. 2. We select regulations/directives that we find and deem relevant to the product categories we cover. **Note:** We do not report new amendments of EU regulations and directives (we only report on new consolidated versions for legislation listed in the LexDatabase).
Limitations	**Harmonised standards** We do not report on new and updated harmonised standards. You can find lists of harmonised standards here. **REACH SVHCs** We do not report on substances of very high concern (SVHC) added to the REACH Candidate List. You can find the list here and news about new SVHCs on the ECHA news page. **Biocidal Products Regulation (EU) 528/2012 Substances** We do not report updates that concern individual substances (i.e., approval of new biocidal products) for the Biocidal Products Regulation (EU) 528/2012. You can find the list of approved active substances here and news about biocidal substances in the Official Journal of the European Union (Link). **Delegated and implementing acts** 1. Delegated and implementing acts setting specific requirements can be implemented under certain regulations and directives. Such acts are sometimes listed on guidance pages. You can also find them in the Register of delegated and implementing acts. 2. You can find delegated and implementing acts for selected regulations here: a. Ecodesign for Sustainable Products Regulation (EU) 2024/1781 (Link) b. Protective Measures Against Pests of Plants Regulation (EU) 2016/2031 (Link) **Note:** We generally report new/updated delegated and implementing acts that belong to: a. Ecodesign for Sustainable Products Regulation (EU) 2024/1781 b. Ecodesign Directive 2009/125/EC c. Energy Labelling Framework Regulation (EU) 2017/1369 We may also report on new/updated delegated and implementing acts concerning other regulations, on a case-by-case basis.

Last updated: 2026-11-18

United Kingdom

Procedures	1. We review new regulations announced in the following search pages of Legislation.gov.uk dated to the **previous month**: • UK Public General Acts (Link) • UK Statutory Instruments (Link) • Scottish Statutory Instruments (Link) • Wales Statutory Instruments (Link) - We only monitor regulations in the English language 2. We select regulations that we find and deem relevant to the product categories we cover. **Note:** We do not report new amendments of UK regulations (we only report on updated versions for legislation listed in the LexDatabase).
Limitations	**Designated standards** We do not report on new and updated designated standards. You can find lists of designated standards here. **UK REACH SVHCs** We do not report on substances of very high concern (SVHC) added to the UK REACH Candidate List. You can find the list here. **Biocidal Products Regulation (EU) 528/2012 Substances** We do not report updates that concern individual substances (i.e., approval of new biocidal products) for the Biocidal Products Regulation (EU) 528/2012. You can find the BPR active substance lists here. **Statutory instruments (including delegated acts or implementing measures)** Statutory instruments (such as delegated acts or implementing measures) setting specific requirements can be implemented under certain regulations. Such instruments are sometimes listed on guidance pages or in the text of the applicable UK regulations.

Last updated: 2026-11-18

Canada

Procedures	1. We review new regulations announced in the Canada Gazette publications (Link) dated to the **previous month**. 2. We select regulations that we find and deem relevant to the product categories we cover. **Note:** We do not report new amendments of Canadian regulations (we only report on amended versions for legislation listed in the LexDatabase).
Limitations	/

Australia

Procedures	1. We review new regulations announced in the Federal Register of Legislation (Link) dated to the **previous month**. 2. We select regulations that we find and deem relevant to the product categories we cover. **Note:** We do not report new amendments of Australian regulations (we only report on new "latest" versions for legislation listed in the LexDatabase).
Limitations	/

Phase 1 - Conclusion

- LexDatabase: New version published to the Compliance Gate Platform
- LexDatabase: Email alerts sent
- CRL entries (updates): Rewritten in internal database
- Monthly Report: Draft completed / Internal review*

*We assess if any new regulations and/or templates need to be added to the platform as part of the internal review. We decide on a case-by-case basis. Hence, we do not add all new regulations (or related templates, if any).

Last updated: 2026-11-18

Phase 2: Compliance Gate Platform Update

1. New CRL entry drafts and/or templates are written in the internal database.

2. We make the following updates to the Compliance Gate Platform:

Type	Action / Conditions
CRL entries - Updated	1. New versions (updates) replace existing CRL entries. 2. LexDatabase: CRL version date and log is updated
CRL entries - New	1. New CRL entries added (if any) 2. LexDatabase: New item added

3. A .csv file is downloaded from the Compliance Gate Platform database to update the list of LexDatabase items / CRL entries in the following files:

- US Database
- EU Database
- UK Database
- CA Database
- AU Database

Note: We also publish the latest version of the listed database documents on the website. These inform visitors of the items covered by the platform.

Phase 3: Monthly Report

1. The Monthly Report is published in the Compliance Gate Platform.

2. We send the monthly report email to subscribers.

Last updated: 2026-11-18

Timeline example

Stage	Action / Conditions
Before monthly review	New source version published in legislation database: 2026-04-21
Phase 1	Our monthly review (for April 2026): 2026-05-02 New version detection date: 2026-05-02 New version flag (LexDatabase): Visible from 2026-05-02 until 2026-06-01 CRL entries updated (internal database): 2026-05-03 New LexDatabase item version published: 2026-05-07* Email alerts sent: 2026-05-07*
Phase 2	New CRL entries written (internal database): 2026-05-10 CRL entries new and updated published: 2026-05-11
Phase 3	Monthly report published: 2026-05-14** Monthly report sent: 2026-05-14***
After monthly review	Recent update flag (LexDatabase): Visible from 2026-06-02 until 2026-08-01

*All detected updates are visible in the LexDatabase from the date of publication. Email alerts are generally sent on the same date.

**CRL entries are generally published after the LexDatabase has been updated, but before the monthly report is published (but there can be exceptions).

***There can be a delay from the date of Monthly Report publication to when we send the email (as we normally do not send emails during weekends or major public holidays).

Last updated: 2026-11-18

Annual Audit Process

The following is based on the CGP Annual Audit 2026 template:

Compliance Requirements List

Source Audit

We review selected sources to determine if we should add or remove CRL entries.

CRL Entries - Not Monitored

We review sources to assess if the CRL entries should be updated.

CRL Entry / LexDatabase Item Removal

We assess if any regulations should be removed (i.e., replaced or for other reasons).

Project Modules

1. Is there a **newer version** of the regulation/directive/guidance page that the project module is based on **or** has the source been replaced entirely?

2. If **yes**, rebuild or update the project module in part and specify the new source version.

3. If **no**, do not update the project module, but assess if it can be improved in other ways.

Document Templates

1. Is there a **newer version** of the regulation/directive/guidance page that the template is based on?

2. If **yes**, update the template (even if the particular article/annex/regulation/part is the same) and specify the new source version

3. If **no**, do not update the template, but check that the template items are matching the source*

*This is a precaution in case the template contains errors or if there have been changes to the source despite the version not being changed.

Label Templates

1. Is there a **newer version** of the regulation/directive/guidance page that the template is based on?

2. If **yes**, update the template (even if the particular article/annex/regulation/part is the same) and specify the new source version

3. If **no**, do not update the template, but check that the template items are matching the source*

*This is a precaution in case the template contains errors or if there have been changes to the source despite the version not being changed.

Last updated: 2026-11-18

Widgets / Other

Covers: Product testing form, widgets, service page

1. We review sources to determine if updates are necessary

2. We assess if widgets need to be improved based on user feedback or for other reasons (even if no sources are changed).

Audit Report

1. We publish and send the annual audit report via email during Q4 each year.

2. The template and audit process may change from one year to the next.

Last updated: 2026-11-18

Testing

Feature	Sequence	Frequency
LexDatabase	1: Market filter check 2: Filters filter check 3: Modules filter check 4: Email track check 5: Source link check 6: Collapsible item check 7: Upcoming tag check 8: New version tag check 9: Recent update tag check 10: Version icon check 11: Email alert check	Monthly
Compliance Requirements Lists/Project modules	1: Market and Modules selection 2: Specifications selection 3: Templates selection 4: Product workspace check 5: PDF check Note: repeat for all markets	Monthly
Document Templates	1: New document creation 2: PDF download 3: PDF check 4: Template check 5: Sidebar check 6: Deletion check 7: Pre-filled templates check Note: repeat for all markets	Monthly
Label Templates	1: New label creation 2: PDF download 3: PDF check 4: Template check 5: Sidebar check 6: Deletion check 7: Pre-filled templates check Note: repeat for all markets	Monthly
Source Links	1: Download .CSV tables that contain links 2: Upload table on separate website 3: Scan tables 4: Evaluate/update redirect/broken links	Monthly

Last updated: 2026-11-18

LexDatabase Check Process

The purpose of this test is to verify if the LexDatabase functions correctly.

1: We use the “Market” filter to check if regulations concerning the right market are showing for every market (we check all 5 options)

2: We use the “Filters” filter to check if Email alert list items, Upcoming items, New update items, and Recent update items are showing correctly (we check all 5 options)

3: We use the “Modules filter” to check if it works correctly (we uncheck all options, then we select a specific module for each market)

4: We add an item to the Email alert list, and then remove it, to check if tracking is working correctly

5: We click on a Source link, to check if links are working correctly

6: We open and close the collapsible item to see if the Log shows up correctly

7: We check an item tagged as “Upcoming”, to see if the date is in the future

8: We check an item tagged as “New version” to see if the version was detected in the last 30 days

9: We check an item tagged as “Recent update” to see if the version was detected in the last 31-90 days

10: We compare the “Version” and “CRL version” columns for two regulations (one that has identical dates and one that has different dates) to ensure that the icons show up correctly

11: We track all items on a Compliance Gate Platform test account in order to confirm that the email alert is sent, and it contains all the items that were updated since the previous email was sent

12: Fill in the monthly report

Compliance Requirements Lists/Project modules Check Process

The purpose of this test is to verify if the Compliance Requirements List/Project modules function correctly, and if the downloaded PDF files contain the correct modules/entries.

1: We create a compliance requirements list with **5 modules** (selected from the first row) for each country/market (US, EU, UK, Canada, and Australia)*

*The following 5 consecutive modules are selected in the following month (and this also includes project modules)

2: We select “**Yes**” for all specifications

3: We select **“Add template”** for all available templates

4: We check that all entries and templates appear on the product workspace

5: We check that all entries appear on the PDF report

6: Fill in the monthly report

Document Templates Check Process

The purpose of this test is to verify if document templates function correctly, and if the template fields and downloaded PDF files contain the correct text.

1: We create **1 new document** (selected starting from the top left) and download it in PDF format for each country/market (US, EU, UK, Canada, and Australia)*

*The following template is selected in the following month.

2: We fill in all text fields, and add all images (where possible), and download the document in PDF format

3: We check that all the information is present in the PDF file, and that it matches the information in the template page

4: We check that the headlines in the template page match the headlines in this document (see above Document templates database)

5: We check that the sidebar is showing the information concerning the relevant template

6: We delete the document to confirm that the delete functionality is working correctly

7: We open and download a pre-filled document for each of the available templates to:

- Check that the sidebar is showing the information concerning the relevant template
- Download a PDF and check that its text/images match the text/images entered in the template

8: Fill in the monthly report

Label Templates Check Process

The purpose of this test is to verify if label templates function correctly, and if the template fields and downloaded PDF files contain the correct text.

1: We create **1 new label** (selected starting from the top left) and download it in PDF format for each country/market (US, EU, UK, Canada, and Australia)*

*The following template is selected in the following month.

2: We fill in all text fields, add all images, and download the document in PDF format. We will use this text for the blank file:

3: We check that all the information is present in the PDF file, and that it matches the information in the template page

4: We check that the sidebar is showing the information concerning the relevant template

5: We delete the document to confirm that the delete functionality is working correctly

6: We open and download a pre-filled document for each of the available templates to:

- Check that the sidebar is showing the information concerning the relevant template
- Download a PDF and check that its text/images match the text/images entered in the template

7: Fill in the monthly report

Source Links Check Process

The purpose of this test is to identify and correct redirected links, broken links, and other issues that may arise with the link provided in the CRLs, Project Modules, and Template pages.

1: All source links that can be found inside CRL entries, Project Modules entries and templates are available on specific tables of the Compliance Gate Platform database. We download such tables in .csv format.

2: A copy of the table is uploaded to a separate website.

3: The links are scanned by a plugin titled Broken Link Checker. This plugin provides a list of:

- 404: Broken URLs (page removed)
- 301/302/307: Redirected URLs

4: We take the following action in case any of the above is detected:

- 404: We replace the source link
- 301/302/307: We assess if the new page is relevant*

*If yes, then we update to the current (redirected) source link. If not, then we replace the source link.

5: There are some scenarios in which a missing, incorrect, or irrelevant source link could be present in the compliance requirements list entries or templates:

- Plugin fails to detect 404/301/302/307
- Content changed on page (but no 404/301/302/307)

There is also a risk of human error, resulting in missing, incorrect, or irrelevant source links not being replaced.